

$S_{g,m}^b$ : compact connected oriented surface of genus  $g$  with  $b$  boundary components and  $m$  punctures

$\text{Mod}(S_{g,m}^b)$  = group of isotopy classes of orientation preserving homeomorphisms of  $S$  that fix  $\partial S$   
 $= \pi_0 \text{Homeo}_+^+(S)$

- Prmk :
- we can replace homeos by diffeos
  - we can replace isotopy classes with homotopy classes

We will define generators for  $\text{Mod}(S)$ :

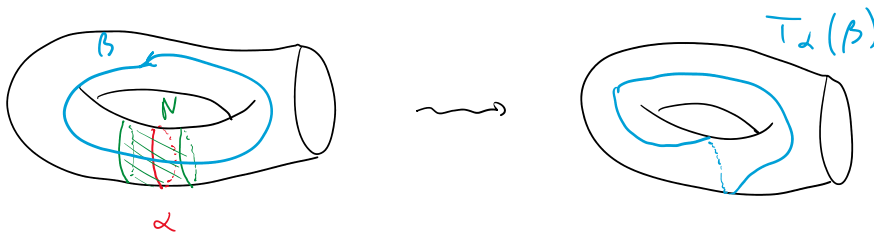
Dehn twist: for an annulus  $A = S^1 \times I$   
 $\Rightarrow$  Twist map  $T: A \rightarrow A$   
 $(e^{2i\theta}, t) \mapsto (e^{2i(\theta+t)}, t)$



for a general surface: fix a simple closed curve (scc)  $\alpha \subset S$  and a regular neighborhood  $N$  of  $\alpha$  and an orientation-preserving homeo  $\varphi: A \rightarrow N$

$\Rightarrow$  Dehn twist along  $\alpha$ :  $T_\alpha: S \rightarrow S$   
 $x \mapsto \begin{cases} \varphi \circ T \circ \varphi^{-1}(x) & \text{if } x \in N \\ x & \text{o/w} \end{cases}$

For a scc  $\beta$ ,  $T_\alpha$  acts like this:



$T_\alpha \in \text{Mod}(S)$  does not depend on the choice of  $N$  or  $\varphi$   
 it only depends on the isotopy class of  $\alpha$

Change of coordinate principle

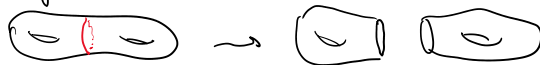
Recall: an scc is separating if  $S \setminus \alpha$  is disconnected



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$$S \setminus \alpha = S \setminus \text{mbl}(\alpha)$$



Given  $\alpha, \beta$  scc, define the **geometric intersection number** of  $\alpha$  and  $\beta$

$$\text{to be } i(\alpha, \beta) = \min \{ |\alpha' \cap \beta'| \mid \alpha' \text{ isotopic to } \alpha, \beta' \text{ isotopic to } \beta \} \in \mathbb{N}$$

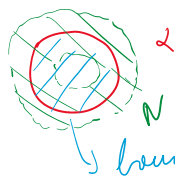
**Thm.** If  $\alpha$  and  $\beta$  are non-separating, then there is an orientation-preserving homeo  $\varphi: S \rightarrow S$  st  $\varphi(\alpha) = \beta$

- If  $\alpha$  and  $\beta$  are separating and if the cut surfaces  $S \setminus \alpha, S \setminus \beta$  are homeo, then there is an or-preserving homeo  $\varphi: S \rightarrow S$  st  $\varphi(\alpha) = \beta$
- If  $(\alpha, \beta)$  and  $(\alpha', \beta')$  are pairs of scc in  $S$  st  $i(\alpha, \beta) = i(\alpha', \beta')$  and  $S \setminus (\alpha, \beta)$  is homeo to  $S \setminus (\alpha', \beta')$  then there is an or-preserving homeo of  $S$  taking  $(\alpha, \beta)$  to  $(\alpha', \beta')$

Some facts about Dehn twists:

**prop** If  $\alpha$  is a scc homotopic to a point or puncture of  $S$  then  $T_\alpha$  is trivial (in  $\text{Mod}(S)$ )

**pf**



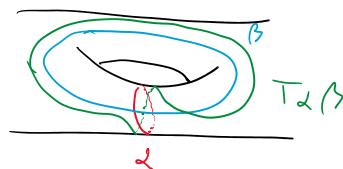
If we twist along  $\alpha$ , we can "untwist" in the disk  $D$  bounded by  $\alpha$

**prop** If  $\alpha$  is not homotopic to a point or puncture, then  $T_\alpha$  is not trivial

**pf** If  $\alpha$  is not separating, then we can find a scc  $\beta$  st  $i(\alpha, \beta) = 1$  by the change of coordinates principle

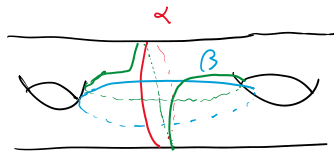
$$\text{Then } i(T_\alpha(\beta), \beta) = 1$$

$$\Rightarrow T_\alpha(\beta) \neq \beta$$



\* If  $\alpha$  is separating and **essential** (not homotopic to boundary comp or puncture) then we can find  $\beta$  st  $i(\alpha, \beta) = 2$

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then  $i(T_\alpha(\beta), \beta) = 4$

$\Rightarrow T_\alpha(\beta) \neq \beta$

\* If  $\alpha$  is homotopic to a boundary component

let  $\bar{S}$  be the double of  $S = S \cup_{\partial S} S$

then in  $\bar{S}$ ,  $\alpha$  is essential  $\Rightarrow$  we can conclude by the first two cases (b/c if  $T_\alpha$  were trivial in  $S$ , it would be trivial in  $\bar{S}$  too)

prop If  $\alpha, \beta$  are essential, then  $\forall k \in \mathbb{Z}$ ,  $i(T_\alpha^k(\beta), \beta) = |k| \cdot i(\alpha, \beta)^2$   
 $\Rightarrow$  Dehn twists are of infinite order in  $\text{Mod}(S)$

prop  $\forall \alpha, \beta, \forall i, j \in \mathbb{Z}$ ,  $T_\alpha^i = T_\beta^j \Leftrightarrow \alpha$  is isotopic to  $\beta$  and  $i = j$

prop If  $f \in \text{Mod}(S)$ , then  $T_{f(\alpha)}^j = f T_\alpha^j f^{-1} \quad \forall j \in \mathbb{Z}$

prop  $f T_\alpha^j = T_\alpha^j f \Leftrightarrow f(\alpha)$  is isotopic to  $\alpha$  (for any  $j \neq 0$ )

prop If  $\alpha, \beta$  are non-separating scc, then  $T_\alpha$  and  $T_\beta$  are conjugates in  $\text{Mod}(S)$

pf By the change of coordinate principle,  $\exists f \in \text{Mod}(S)$  st  $f(\alpha) = \beta$   
 $\Rightarrow$  follows from previous results

prop  $i(\alpha, \beta) = 0 \Leftrightarrow T_\alpha(\beta) = \beta \Leftrightarrow T_\alpha T_\beta = T_\beta T_\alpha$

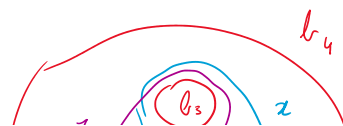
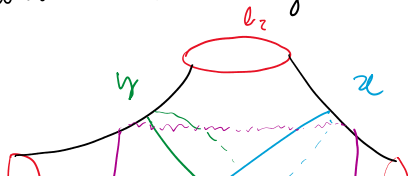
$i(\alpha, \beta) = 1 \Leftrightarrow T_\alpha T_\beta T_\alpha = T_\beta T_\alpha T_\beta$  ("braid relation")

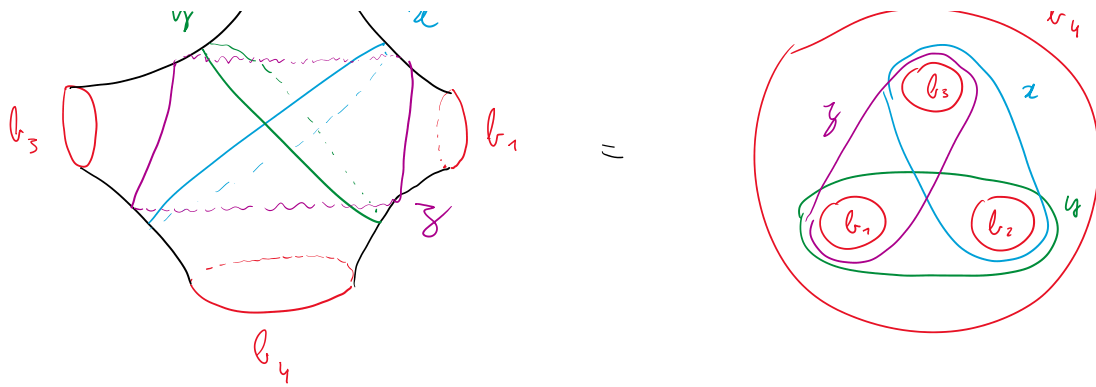
In general, if  $S' \subset S$  is a subsurface, then there is a homomorphism  $\text{Mod}(S') \rightarrow \text{Mod}(S)$

If  $g \geq 2$ , then  $S$  contains a subsurface homeo to  $S_0^4$  (sphere w/ 4 boundary components)

prop (Lantern relation) Suppose that we have an embedding  $S_0^4 \hookrightarrow S$

and consider the image in  $S$  of the seven curves below:

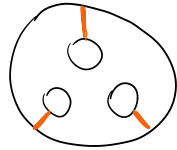




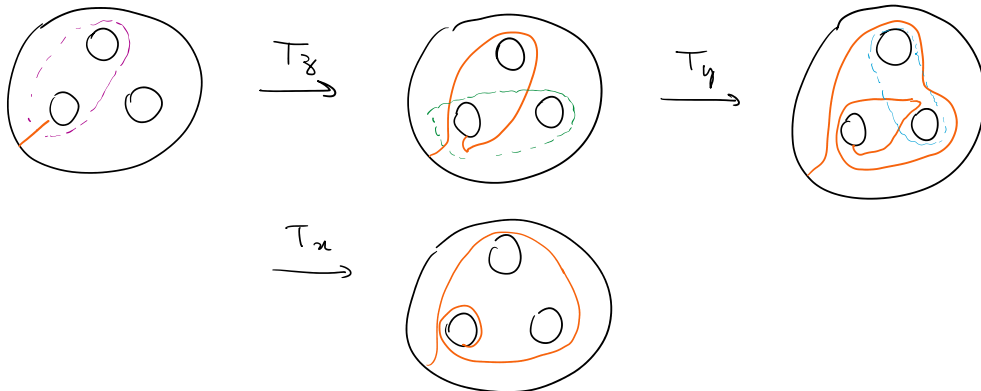
Then  $T_x T_y T_z = T_{b_1} T_{b_2} T_{b_3} T_{b_4}$

proof ("Alexander method") The action of an element of  $\text{Mod}(S)$  is often determined by its action of a well-chosen collection of curves and arcs in  $S$

For  $S_0^4$ , take these three arcs:



It is enough to check that the lantern relation holds for these three arcs



Do the same for  $T_{b_1} T_{b_2} T_{b_3} T_{b_4} \Rightarrow$  same arc in the end

To be continued next week!