

Configurations spaces, algebraic topology and operads

Najib Idrissi - Université Paris Cité - <https://idrissi.eu/class/23-cimpa>

Lecture given at the CIMPA school "Crossroads of geometry, representation theory and higher structures"

Symbols

- ★ Important
- ❓ Question
- ⚡ Theorem
- 📖 Definition
- ☁ Conjecture

Configuration spaces

Definition and applications

- Ordered configuration space of r points in M : $\text{Conf}_M(r) := \{(x_1, \dots, x_r) \in M^r \mid \forall i \neq j, x_i \neq x_j\}$.
- Applications: Braid groups; Loop spaces; Splitting of Map_c ; Embedding calculus; Gelfand-Fuks cohomology; Path planning

Homotopy invariance

- 📖 ▪ Homotopy, $\mathbb{R} \simeq \{0\}$
- ☁ ▪ Conjecture: $X \simeq Y \Rightarrow \text{Conf}_X(r) \simeq \text{Conf}_Y(r)$, counterexamples are easy to find
 - Restrictions to manifolds:
 - Obvious if $\dim M \leq 2$
 - Bödiger-Cohen-Taylor, Bendersky-Gitler: invariance of homology
 - Levitt: invariance of ΩConf_M
 - Aouina-Klein: invariance of $\Sigma^\infty \text{Conf}_M$
 - Counterexample due to Longoni-Salvatore: $L_{7,1}$ vs $L_{7,2}$
 - Conjecture remains for simply connected spaces

Rational homotopy theory

Homotopy groups: $\pi_*(X)$, Whitehead theorem

- 📖 ▪ Definition of rational equivalence for simply connected spaces
 - Can be generalized to nilpotent spaces of finite type
- ☁ ▪ Conjecture $\otimes \mathbb{Q}$: if $M \simeq_{\mathbb{Q}} N$ then $\text{Conf}_M(r) \simeq_{\mathbb{Q}} \text{Conf}_N(r)$
- ★ ▪ General conjecture does not imply rational conjecture
 - Sullivan models
 - 📖 □ Definitions of CDGAs & quasi-isomorphisms
 - Examples: $H^*(M)$, $A = A^0$, $\Omega_{dR}^*(M)$
 - 📖 □ Piecewise linear forms
 - $\mathcal{A}_n := S(t_0, \dots, t_n, dt_0, \dots, dt_n) / (\sum t_i = 1, \sum dt_i = 0)$
 - Differential $\delta(t_i) = dt_i$, $\delta(dt_i) = 0$
 - Cofaces, codegeneracies:

$$\sigma^i(t_k) = \begin{cases} t_k & k < i \\ 0 & k = i \\ t_{k-1} & k > i \end{cases}, \quad \partial^j(t_k) = \begin{cases} t_k & k < i \\ t_k + t_{k+1} & k = i \\ t_{k+1} & k > i \end{cases}$$
 - Finally, $\Omega_{PL}^k(X) := \left\{ (\omega_f \in \mathcal{A}_n^k)_{f: \Delta^n \rightarrow X} \mid d_i(\omega_f) = \omega_{f \circ \partial^i}, s_j(\omega_f) = \omega_{f \circ \sigma^j} \right\}$
- ⚡ □ Theorem $\Omega_{PL}^*(X) \simeq C^*(X; \mathbb{Q})$
- ⚡ □ Theorem equivalence between $\text{Top}[\mathcal{W}_{\mathbb{Q}}^{-1}]$ and $\text{CDGA}_{\mathbb{Q}}[\text{qiso}^{-1}]$.
- 📖 □ Sullivan model of $X =$ any CDGA quasi-iso to $\Omega_{PL}^*(X)$: knows everything about the rational homotopy type of X
 - There is a version of all that over the real numbers
- ☁ ▪ Refined conjecture: can find a model of $\text{Conf}_M(r)$ from a model of M

Formality of $\text{Conf}_{\mathbb{R}^n}(r)$

- ⚡ ▪ Theorem (Arnold)

$$H^*(\text{Conf}_{\mathbb{R}^n}(r)) = S(\omega_{ij})_{1 \leq i \neq j \leq r} / (\omega_{ij}^2 = 0, \omega_{ji} = (-1)^n \omega_{ij}, \omega_{ij} \omega_{jk} + \omega_{jk} \omega_{ki} + \omega_{ki} \omega_{ij} = 0)$$
 - ω_{ij} is dual to two points rotating one around the other
 - $\text{Conf}_{\mathbb{R}^n}(2) \simeq S^{n-1}$ and ω_{ij} is the fundamental class, first two relations follow easily
 - Third relation: Jacobi-type
 - Proof using the method of solar systems

- Interpretation using graphs
- 🔍 A space X is formal if $H^*(X; \mathbb{Q})$ is a Sullivan model of X
 - Examples and counterexamples
 - Spheres
 - Lie groups, H-spaces
 - Spaces of dimension $\leq 4p - 2$ such that $\pi_{<p} = 0$
 - Compact Kähler manifolds ($\omega = \Im h$ is closed for some Hermitian metric)
 - If S is a surface of genus 2, then $\text{Conf}_S(2)$ is not formal (even though S is formal)
- ⚡ Theorem (Arnold) $\text{Conf}_{\mathbb{C}}(r)$ is formal for all r . Proof: $\omega_{ij} \mapsto d \log(z_i - z_j)$.
- ⚡ Theorem (Kontsevich) $\text{Conf}_{\mathbb{R}^n}(r)$ is formal for all $n \geq 2$ and all r .

Lambrechts–Stanley model

Model definition

- ⚡ Theorem (Lambrechts-Stanley) Any manifold any a Poincaré duality model: CDGA (A, d) equipped with $\epsilon: A^n \rightarrow \mathbb{R}$ st $\epsilon \circ d = 0$ and $A^V[-n] \cong A$.
- 🔍 Diagonal class: $\Delta_A \in A \otimes A$ such that $\forall a \in A, a = (\epsilon \otimes 1)(\Delta_A \cdot (a \otimes 1))$.
- 🔍 Candidate model of $\text{Conf}_M(r)$ $G_A(r) := (A^{\otimes r} \otimes H^*(\text{Conf}_{\mathbb{R}^n}(r))) / (a_i \omega_{ij} = a_j \omega_{ij}), d\omega_{ij} = \Delta_{ij}$.
 - Interpretation in terms of graphs with decorations.
 - Small examples: $r \in \{0, 1, 2\}$
 - History of this model:
 - Cohen-Taylor $E^2 = G_{H^*(M)}(r) \Rightarrow H^*(\text{Conf}_M(r))$
 - Kriz, Totaro: when M is a smooth projective complex manifold (implies compact Kähler)
 - Lambrechts-Stanley: case $r = 2$ and $\pi_{\leq 2} M = 0$
 - Cordova Bulens: case $r = 2, \pi_{\leq 1} M = 0, \dim M$ even
 - Bendersky-Gitler: dual spectral sequence (Félix-Thomas, Berceanu-Markl-Papadima)
 - Lambrechts-Stanley: $H^*(G_A(r)) = H^*(\text{Conf}_M(r))$ if $\pi_{\leq 1} M = 0$ as \mathfrak{S}_r -modules
- ⚡ Theorem Always a model if M is smooth, simply connected, dimension ≥ 4 .
 - Sketch of proof:
 - Resolve $G_A(r)$ using graph complexes
 - Combinatorics: $\text{Graphs}_A(r) \xrightarrow{\sim} G_A(r)$
 - Compactify configuration spaces to compute integrals
 - The bundles aren't submersions: we must use PA forms
 - Some integrals vanish thanks to $\dim M \geq 4 \wedge \pi_1 M = 0$
 - $G_A(r) \simeq G_B(r)$ if $A \simeq B$

Fulton-MacPherson compactification

- Compactification of $\text{Conf}_{\mathbb{R}^n}(U)$ for a finite set U

$$\theta_{ij}: \text{Conf}_{\mathbb{R}^n}(U) \rightarrow S^{n-1} \quad \delta_{ijk}: \text{Conf}_{\mathbb{R}^n}(U) \rightarrow [0, +\infty]$$
- $(x_u)_{u \in U} \mapsto \frac{x_i - x_j}{\|x_i - x_j\|} \quad (x_u)_{u \in U} \mapsto \frac{\|x_i - x_j\|}{\|x_i - x_k\|}$
- 🔍 $\text{FM}_n(U)$ is the closure of the image in $(S^{n-1})^{r(r-1)} \times [0, +\infty]^{r(r-1)(r-2)}$ (where $r = \#U$)
- ⚡ This is a smooth manifold with corners of dimension $nr - n - 1$ (or 0 if $r \leq 1$).
- Boundaries
 - Given $W \subset U, \partial_W \text{FM}_n(U)$ is isomorphic to $\text{FM}_n(U/W) \times \text{FM}_n(W)$.
 - ⚡ □ $\partial \text{FM}_n(U) = \bigcup_{W \subset U, \#W \geq 2} \partial_W \text{FM}_n(U)$, each part is of codimension 1, intersection of codim ≥ 2
 - 🔍 □ Fiberwise boundary $\pi: E \rightarrow B, \dim \pi = k: \pi^\partial: \bigcup_{x \in B} \partial \pi^{-1}(\{x\}) \rightarrow B$, bundle, $\dim \pi^\partial = r - 1$.
 - ⚡ □ Fiberwise boundary of $\pi: \text{FM}_n(U) \rightarrow \text{FM}_n(A)$ is given by

$$\text{FM}_n^\partial(A) := \bigcup_{\substack{\#W \geq 2 \\ \#W \cap U \leq 1 \vee U \subset W}} \partial_W \text{FM}_n(U)$$

Semi-algebraic sets and PA forms

- Problem: $\text{FM}_M(A \sqcup I) \rightarrow \text{FM}_M(A)$ is not a submersion in general
- 💡 Solution: they are semi-algebraic fiber bundles!
- 🔍 Semi-algebraic (SA) set: union of intersection of solution sets of polynomial inequalities
- 🔍 SA bundle: $\pi: E \rightarrow B + \text{cover } \{U_\alpha\}$ of B + SA homeos $U_\alpha \times F \cong \pi^{-1}(U_\alpha)$ compatible with π
- 🔍 SA chains: $C_*^{\text{SA}}(X) := \{f_* \llbracket M \rrbracket \mid M: \text{compact SA variety}, f: M \rightarrow X \text{ SA map}\}$.
- For ω a differential form on \mathbb{R}^N with support on X , we let $\langle f_* \llbracket M \rrbracket, \omega \rangle := \sum_i \int_{S_i} f^* \omega$, where $M = \bigcup_i S_i$ is a suitable

stratification.

- 📖 Minimal forms: for $f, g_i: X \rightarrow \mathbb{R}$, let $\lambda(f; g_1, \dots, g_k) \in \Omega_{\min}^k(X)$ defined by $\langle \lambda(f; g_1, \dots, g_k), \gamma \rangle := \left((f, g_1, \dots, g_k)_* \gamma, x_0 dx_1 \wedge \dots \wedge dx_k \right)$
- 📖 PA forms: formal integrals along boundaries $\pi_* \lambda \in \Omega_{\text{PA}}^{(k-d)}(X)$ where $\lambda \in \Omega_{\min}^k(E)$ and $\pi: E \rightarrow X$ of rank d
 - More generally, for $\Phi: X \rightarrow C_l(Y)$ strongly continuous, can define $\left\langle \int_{\Phi} \lambda, \gamma \right\rangle := \langle \lambda, \Phi \times \gamma \rangle$.
 - Necessary: e.g. $\frac{dt}{t} \in \Omega_{\min}^1([0, 1])$ but only the boundary of $\log t = (\text{proj}_2)_* (\chi_{t_2 < t_1} dt_2) \in \Omega_{\text{PA}}^0([0, 1])$
 - Most important property: Stokes formula $d(\pi_* \lambda) = \pi_*(d\lambda) \pm \pi_*^d(\lambda_{E^d})$
- ⚡ **Theorem [HLTV]** $\Omega_{\text{PA}}^*(X) \simeq C^*(X; \mathbb{R})$ for a compact SA set X
 - $\text{FM}_M(r)$ and $\text{FM}_n(r)$ are compact SA sets, projections are SA fiber bundles.

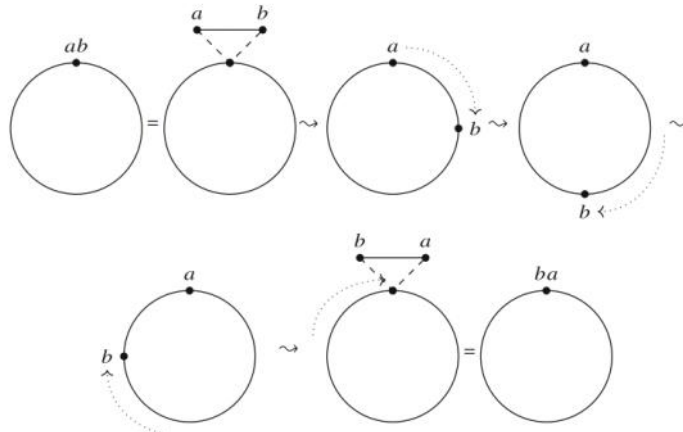
Graph complexes

- No hope of finding $G_A(r) \xrightarrow{\sim} \Omega^*(\text{Conf}_M(r))$ in general
- Standard technique: resolve $G_A(r)$, i.e., turn relations into a differential
- Also resolve A s.t. $A \leftarrow R \xrightarrow{\sim} \Omega^*(M)$
- $\omega_{ij}^2 = 0$ and $\omega_{ji} = \pm \omega_{ij}$ are easy to resolve: remove some generators
- For the others, use graph complexes: add internal vertices, $d = d_R + d_{\text{split}} + d_{\text{contr}}$
- Combinatorics: $G_A \leftarrow \text{Graphs}_R(r)$ using filtrations ($\#E - \#V$), spectral sequences, induction on r .
- Corresponds to fiber integrals: $\omega(\Gamma) := \int_{\text{FM}_M(U \sqcup I) \rightarrow \text{FM}_M(U)} \wedge_{u \in U \sqcup I} \alpha_u \wedge \wedge_{e \in E} \Phi_e$
- Where $\phi \in \Omega_{\text{PA}}^{n-1}(\text{FM}_M(2))$ is the "propagator": $d\phi = \Delta_M$
- Problem: could get extra terms in the differential from "partition function" $Z: \text{GC}_R \rightarrow \mathbb{R}$, incomputable integrals
- Not the case thanks to degree counting! Several simplifications (unary internal, bivalent internal...)
- Get $\text{Graphs}_R(r) \xrightarrow{\sim} \Omega_{\text{PA}}^*(\text{Conf}_M(r))$, quasi-iso thanks to the first result
- ★ In dimension ≤ 3 , different arguments: obvious in $\text{dim} \leq 2$, need Poincaré conjecture for $\text{dim} 3$

Operads

Motivation: Factorization homology

- ★ Goal: produce invariants of manifolds that are finer than homotopy invariants
 - For example, distinguish $L_{7,1}$ and $L_{7,2}$
 - Motivation from physics: "charged" particles in the manifold
 - More precisely, given space of decorations A , particles are decorated by elements of A that get multiplied when they collide

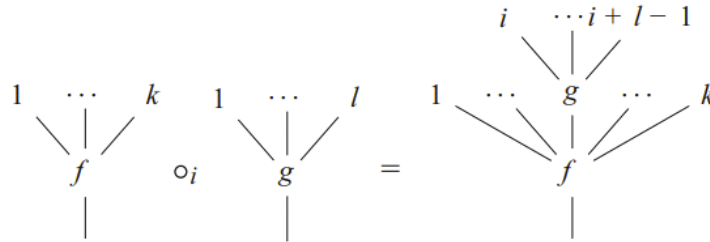


- What kind of multiplication do we want?
 - If we take A commutative+associative, everything works.
 - The result is known: higher Hochschild homology...
 - But this is a homotopy invariant!
 - We need to have different multiplications when points collide in different ways
 - Fulton-MacPherson compactification is the answer!

Introduction to operads

- Operads are designed to encode "categories of algebras"
 - Associative algebras
 - Commutative algebras
 - Lie algebras

- ...
- ★ ▪ (Analogy) group/monoid : representation :: operad : algebra
 - Operads are basically monoids, but operations are multivariable
 - Prototypical monoid: $\text{End}(X)$ for some object X
 - Analogue: endomorphism operad $\text{End}_X = \{\text{Hom}(X^{\otimes r}, X)\}$
 - Can renumber inputs of operations (symmetric)
 - Can compose operations: $\circ_i: \text{Hom}(X^{\otimes r}, X) \times \text{Hom}(X^{\otimes s}, X) \rightarrow \text{Hom}(X^{\otimes r+s-1}, X)$



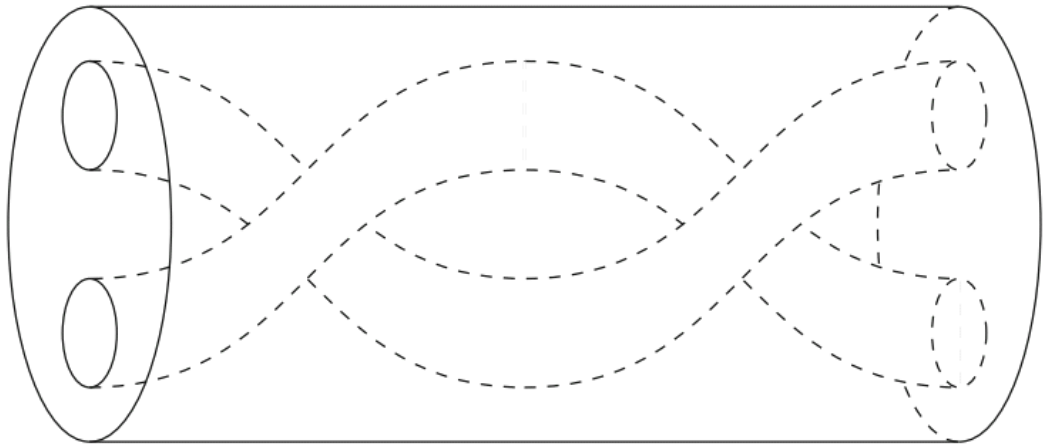
- There is an identity: $\text{id}_X \in \text{Hom}(X, X)$
- 🔍 ▪ An operad is a collection of abstract "operations" $\mathcal{P} = \{\mathcal{P}(r)\}_{r \geq 0}$ plus:
 - Renumbering
 - Composition
 - Identity for the composition
 - Associativity of composition
 - Equivariance of composition
 - Draw pictures of trees to understand what it's all about!
- 🔍 ▪ Algebra = object A equipped with $\mathcal{P}(r) \otimes A^{\otimes r} \rightarrow A$ satisfying several conditions
 - Draw trees again!
 - Examples of operads and their algebras
 - $\mathcal{As}(r) = \mathfrak{S}_r$, algebras = monoids
 - $\mathcal{Com}(r) = \{*_r\}$, algebras = commutative monoids
 - Why do all that...?
 - Operads in other categories: algebra, topology, geometry...
 - General framework to encode "algebraic structures"

Operadic structure of FM_n and FM_M

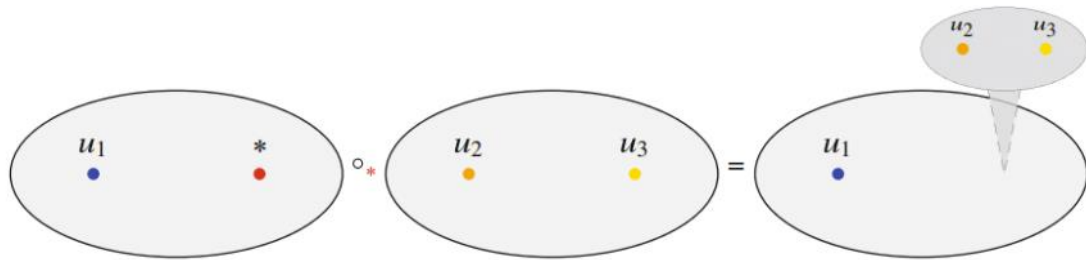
- ⚡ ▪ **Theorem: there is an operad structure on FM_n**

Structure maps: $\text{FM}_n(r) \times \text{FM}_n(s) \rightarrow \text{FM}_n(r+s-1)$ "insert" infinitesimally small configurations of points
 Given by insertion of boundary facets $\partial_W \text{FM}_n(A)$

 - For $n = 1$: $\text{FM}_1(r)$ is $r!$ copies of several polytopes known as associahedra
 - For $n = 2$:
 - $r = 0$: $\text{FM}_2(0) = \{*\}$ is a unit
 - $r = 1$: $\text{FM}_2(1) = \{\text{id}\}$ is the identity
 - $r = 2$: $\text{FM}_2(2) = S^1$: all the ways of multiplying two elements in D^2
 - $r = 3$: contains homotopies between all the ways of multiplying three elements



- ⚡ There is a right action of FM_n on FM_M if M is parallelized
 Insert of configurations into the tangent space
 Given by insert of boundary facets too



- 📄 Factorization homology: for a parallelized manifold M and an FM_n -algebra B ,

$$\int_M B = \bigsqcup_{r \geq 0} FM_M(r) \times A^r / \left((x \circ_i y)(b_1, \dots, b_{r+s-1}) \sim x(b_1, \dots, b_{i-1}, y(b_i, \dots, b_{i+r-1}), b_{i+r}, \dots, a_{r+s-1}) \right)$$

Connection with algebraic models

- ⚡ The operad FM_n is formal as an operad
- ⚡ The LS model G_A is compatible with the operad action
 - Consequence: can compute factorization homology using G_A
 - Example: $B = S(\mathfrak{g}[1 - n])$ then $\int_M B = C_*^{CE}(\Omega^{n-*}(M; \mathfrak{g}))$.

References

- Benoit Fresse. *Homotopy of Operads and Grothendieck–Teichmüller Groups*. Volume 1: “The Algebraic Theory and its Topological Background.” Mathematical Surveys and Monographs 217, AMS (2017). ISBN: 978-1-4704-3481-6. [DOI:10.1090/surv/217.1](https://doi.org/10.1090/surv/217.1)
 Note: Parts Ia and Ib are the relevant parts.
- Fresse, Benoit. "Little discs operads, graph complexes and Grothendieck–Teichmüller groups". *Handbook of homotopy theory*, 405–441, CRC Press/Chapman Hall Handb. Math. Ser., CRC Press (2020).
- Najib Idrissi. *Real Homotopy of Configuration Spaces*: Peccot Lecture, Collège de France, March and May 2020. Lecture Notes in Mathematics 2303. Springer (2023). ISBN: 978-3-031-04427-4. [DOI:10.1007/978-3-031-04428-1](https://doi.org/10.1007/978-3-031-04428-1).
 Note: a preprint of the book is available at <https://hal.science/hal-03821309>.
- Ben Knudsen. *Configuration spaces in algebraic topology*. [arXiv:1803.11165](https://arxiv.org/abs/1803.11165).
- Jean-Louis Loday and Bruno Vallette. *Algebraic Operads*. Grundlehren der mathematischen Wissenschaften 346. Springer (2012). ISBN: 978-3-642-30361-6. [DOI:10.1007/978-3-642-30362-3](https://doi.org/10.1007/978-3-642-30362-3).